

U. S. Department of Justice

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FEDERAL BUREAU

of

INVESTIGATION

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FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

NEW YORK, N. Y.

NY FILE NO. **100-64008 RD**

REPORT MADE AT NEW YORK, N. Y.	DATE WHEN MADE 10/2/44	PERIOD FOR WHICH MADE 9/9, 11-15, 23/44	REPORT MADE BY JOSEPH F. MOONEY
TITLE PHILIP CAPLAN			CHARACTER OF CASE SECURITY MATTER - C

SYNOPSIS OF FACTS:

Confidential Informant advised PHILIP CAPLAN is educational director for the Westchester Club of the Bronx Co. C.P. Records LDB 106, Bronx, NY, reflect Subject born 5/1/95 at Albany, NY and presently residing at 1509 Silver St., Bronx, NY. Occupation: carpenter and self-employed. Birth records, Brooklyn, NY, reflect Subject indicated his place of birth as Russia at date of birth of his two children. Records Board of Election, Bronx, NY, reflect Subject registered voter, A.L.P., and place of birth, U.S. Subject advised he was born Albany, NY; attended P.S. 14 through elementary grades; married BERTHA CAPLAN that City, Labor Day, 1918, 1919 or 1920. Advised his father MICHAEL born Russia, naturalized Albany, NY. N.Y. indices reflect Subject C.P. petition signer for Bronx Co. in 1943 and enrolled for C.P. in primaries at Bronx Co. in 1936. Description set out.

P

DETAILS:

Confidential Informant T-1 advised Agents of this office that PHILIP CAPLAN, 1509 Silver Street, Bronx, New York, is educational director for the Westchester Club, 6th A.D., Bronx County, Communist Party.

The records of Local Board No. 106, 1414 Metropolitan Avenue, Parkchester, Bronx, New York, reflect that the Subject is registered with this Board and received serial No. U 1345, and at the time of registration indicated that his name was PHILIP CAPLAN; residence: 1509 Silver Street, Bronx, New York; and that his date and place of birth was May 1st, 1895,

APPROVED AND FORWARDED: <i>CC Conroy</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPIES DESTROYED <i>R138</i> COPIES OF THIS REPORT 5 - Bureau 2 - Albany 2 - Phila. 1 - Col. S.V. Constant, DoFI, 2SC 3 - New York COPY IN FILE	100 - 334006 - 1	RECORDED & INDEXED <i>84</i>
	OCT 4 1944	CONFIDENTIAL

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Albany, New York. He also indicated in this file that his wife, BERTHA CAPLAN, would be the person who would always know his whereabouts and that he was self-employed and gave his place of business at 1509 Silver Street, Bronx, New York.

From the occupational questionnaire which was filed on June 9, 1943, it was indicated that the Subject had no social security number; that he was born on May 1st, 1895, was married, and had one dependent living with him. As far as education was concerned, Subject indicated in this regard that he was a graduate of elementary school and that he had attended two years of trade school. The places of his education were not set out.

As to occupation he indicated that he is presently and has for the past 25 years been self-employed as a carpenter.

At the Board of Health, Flatbush Avenue Extension, Brooklyn, New York, Certificate No. 3301 was reviewed and reflected that a child FRANK KAPLAN was born on August 19, 1921 at the Beth Moses Hospital and that his father was PHILIP KAPLAN, residence: 1013 Walker Avenue, Woodhaven, Long Island, born in Russia; occupation: builder. The record also reflected that the child's mother was BERTHA KAPLAN, formerly BERTHA GOLDBERG; occupation: housewife; born in Russia, with the same residence. It was noted that the record reflected this was the first child of this couple.

Also, the Certificate No. 37107 was reviewed, reflecting that a girl child, HELEN A. CAPLAN, was born on [redacted] at the [redacted] to BERTHA CAPLAN, formerly BERTHA GOLDBERG, of 9519 - 94th Street, Brooklyn, aged 28, born in Russia, and a housewife. The record reflected that the father was PHILIP CAPLAN, residing at 9519 - 94th Street, Brooklyn, New York, aged 29, born in Russia; occupation: contractor.

It was indicated on the record that this child was the second born to the couple.

Confidential Informant T-2 furnished information from the records reflecting that FRANK and HELEN CAPLAN are the children of BERTHA and PHILIP CAPLAN and that they attended elementary school in Brooklyn and Queens and specifically that they had in 1931 and 1932 attended P.S. No. 177 in Brooklyn, New York.

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The records regarding the parents of these children reflect that PHILIP, the Subject of this case, was born in the United States and that further, the mother, BERTHA, in one instance indicated she was born in Russia and in a later record indicated her place of birth as being New York.

Special Agent J. I. Hart contacted Confidential Informant [redacted], whose identity is known to the Bureau, and the latter advised that the records available to him reflected that PHILIP CAPLAN was born in May, 1895 but the place of birth was not indicated. This Informant also advised Agent Hart that a child of PHILIP CAPLAN was HELEN ANNETTE, who was born on [redacted]. It is noted that the birth records as above reported gave the full information regarding HELEN ANNETTE.

The records of the Board of Elections, 3rd and Tremont Streets, Bronx, New York, were reviewed and reflected that PHILIP CAPLAN was a registered voter in 1943, at which time he indicated his party preference as the American Labor Party, and that his residence was 1509 Silver Street, Bronx, New York; and that his place of nativity was the United States.

It was also noted from this record that PHILIP CAPLAN had voted the previous years through 1940 and had indicated his party preference to be the American Labor Party. He also indicated that he was married and it was noted that BERTHA CAPLAN registered from the same place at the same time as Subject of this case and indicated the same party preference.

The writer accompanied by Special Agent C. D. Connelly interviewed the Subject at his residence at 1509 Silver Street, Bronx, New York, at which time CAPLAN advised that his wife was formerly BERTHA GOLDBERG and that to the best of his knowledge they were married at Albany, New York on labor day in 1918, 1919 or 1920. He further advised that her father's name was WOLF (Ph.) GOLDBERG, a naturalized citizen, who was born in Russia. The Subject also advised that he was born in Albany, New York and that further he does not believe that there was a birth record filed because he recalled that his parents spoke of having some kind of paper made up and signed by the midwife who attended at his birth. He further advised in an effort to explain the discrepancy between the record as above reported which reflected his place of birth as both Russia and the United States that at the age of 6 he entered the elementary school, P.S. 14, at Albany, from which school he graduated, and that the records there would probably establish his place of birth. The Subject later advised

that he took a course in manual training at the Albany High School and that there was only one high school in Albany.

The interview continued in an effort to establish Subject's citizenship as well as that of his wife's and during the course of this interview, he furnished the additional information that he is the oldest son of five boys, namely: MORRIS, ALFRED, LOUIS and HENRY, these being the younger in order after the Subject, and they all were reportedly born in Albany. He further advised that his father was MICHAEL CAPLAN and his mother, RACHEL, and that his father, MICHAEL, was a naturalized citizen, and that his papers must have been filed in Albany, New York.

It was apparent during the interview that the Subject of this case was not certain of many statements that he made, which statements are pertinent to establishing his citizenship.

It is noted that if any child's name appears on the naturalization papers of the father of the Subject, it would necessarily preclude the Subject's claim to citizenship, if it reflected that that child was born in Russia.

The indices of the New York Field Division were checked and reflected that PHILIP CAPLAN was a Communist Party petitioner for New York City for the year 1943 and also that he appeared on a list of persons which were enrolled for the Communist Party in the primaries of Bronx County in 1936. It is to be noted that this list was furnished by Confidential Informant T-3.

The following is a description of the Subject as obtained from the selective service record and interview by the writer:

Name	PHILIP CAPLAN
Color	White
Sex	Male
Height	5'8"
Weight	170 lbs.
Eyes	Brown
Hair	Brown
Complexion	Medium
Scars-Marks	None visible; wears glasses.

P E N D I N G

NY100-64008

UNDEVELOPED LEADS

ALBANY FIELD DIVISION

At Albany, New York - Will review the records of P.S. 14 and secure all information tending to establish the citizenship of the Subject.

Will review any available records on the naturalization of the Subject's father, MICHAEL CAPLAN, as reported, and furnish any information bearing on the naturalization or citizenship of the Subject of this case.

It is noted that should the papers of the father reflect that any child was born in Russia, it was necessarily follow that the Subject of this case, being the oldest child, was also born in Russia.

PHILADELPHIA FIELD DIVISION

At Philadelphia, Pennsylvania - Will cause the records of the Immigration and Naturalization Service to be checked for the naturalization record of the father of the Subject, MICHAEL CAPLAN, and will furnish all pertinent information contained therein to this office.

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IDENTITY OF CONFIDENTIAL INFORMANTS

In the report of Special Agent Joseph F. Mooney dated October 2, 1944 at New York, N. Y., the confidential informants are as follows:

T-1

A highly confidential and reliable source [REDACTED]

[REDACTED] which

informant was contacted by Special Agents Harvey E. Rath, Wesley E. Carter, Samuel J. Beyhan, Jerome M. Garland and Walter E. Lewis.

T-2

Jimmy Sherlock, Room 231, Census Age Certificates, New York Board of Education, Brooklyn, New York.

T-3

Mr. JOHN BRANN of the Works Project Administration, New York City.

Mr. Sherlock and Mr. Brann are carried as confidential informants at their specific requests.

FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

NEW YORK CITY

FILE NO. 100-9109 va

REPORT MADE AT ALBANY, NEW YORK	DATE WHEN MADE 10-23-44	PERIOD FOR WHICH MADE 10-17-44	REPORT MADE BY JOHN J. O'TOOLE, JR.
TITLE PHILIP CAPLAN			CHARACTER OF CASE SECURITY MATTER - C

SYNOPSIS OF FACTS:

Efforts to verify the Subject's birth through records of Public School #14, Albany, or the Marriage License Bureau, were made with negative results. Check of INS records of Subject's parents reflects Subject's father's name NATHAN CARLAN, naturalized 1922, and mother, RACHEL, naturalized 1924. However, only children listed in petition are NATHAN JR., MORRIS, HARRY, PEARL, all born Bailystek, Russia, and MOLLY, born at Albany. Details set out.

- RUC -

REFERENCE:

Report of Special Agent JOSEPH F. MOONEY, dated 10-2-44 at New York City.

DETAILS:

Efforts were made at Public School #14 (Philip Schuyler High School), Ash Grove and Trinity Place, Albany, New York, to locate records on the Subject, with negative results. Miss ETHEL COUGHLIN, Secretary to Dr. MORRISSEY, School Administration Building, Albany, N. Y., custodian of all public school records in Albany, advised that the only accurate records for Public School #14 date back to 1925; prior to 1925 these records for instant school have been lost. Miss COUGHLIN also advised that the record of Subject's admittance to school would not state his place of birth.

BUREAU RECORD

F B I

INTERNAL SECURITY

~~CONFIDENTIAL~~

APPROVED AND FORWARDED: <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
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AL 100-9109

A review of the indices of Immigration and Naturalization Service, County Court House Building in Albany, indicates that RACHEL and NATHAN CAPLAN are the Subject's parents. The information set out in these persons' naturalization papers is as follows:

Volume 37, Petition No. 3738 - RACHEL CAPLAN

Address: - 62 Clinton St., Albany, N.Y.
Filed Petition: - 7-25-23
Admitted to Citizenship: - 1-14-24
Born: - 9-1-81, Grajewa, Russia
Immigrated: - From Hamburg, Germany, 3-18-11 to New York City
Husband: - NATHAN CAPLAN
Children: - NATHAN, JR., born 11-20-03, Bailystek, Russia
MORRIS HARRY, born 4-17-06, Bailystek, Russia
PEARL, born 11-20-08, Bailystek, Russia
MOLLY, born 10-20-10, Albany, N.Y.

No Declaration of Intention was necessary as this was an exception to the Immigration Act of September 22, 1922.

Volume 32, Petition No. 3285 - NATHAN CAPLAN

Address: - 62 Clinton St., Albany, N.Y.
Filed Declaration of Intention: - 1-8-20
Filed Petition for Citizenship: - 4-25-22
Admitted to Citizenship: - 10-3-22
(This petition shows that NATHAN CAPLAN had changed his name from
NOAH KAUFMAN)
Born: - 9-15-81, Bailystek, Russia (Poland)
Immigrated: - From Hamburg, Germany, 2-19-09 to New York City
Occupation: - Insurance Agent
Wife's Name: - RACHEL GERSTANSKY
Children: - Same as those listed on his wife's petition.

The indices of the City Clerk's Office in the City Hall, Albany, were checked relative to the Subject's marriage in the years 1918, 1919 and 1920, with no marriage license being recorded for the Subject or his wife, BERTHA GOLDBERG.

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A check of the Albany city directories reflects that the Subject resided at 79 Bassett Street, Albany, 1916 and 1917. The 1918 city directory shows that the Subject moved to New York City. Prior to 1916 there is no listing of the Subject's name in the directories. However, it is noted that a MICHAEL CAPLAN resided at 79 Bassett Street, Albany, during the years 1911 through 1917. Prior to 1911 there is no listing of MICHAEL CAPLAN. None of the city directories for this period of time contain a listing for RACHEL CAPLAN.

- REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN -

AL 100-9109

UNDEVELOPED LEAD

THE NEW YORK FIELD DIVISION:

At Bronx, New York:

Will reinterview the Subject to ascertain if the Subject's immediate family is the same as set out in this report.

Office Memorandum • UNITED STATES GOVERNMENT

TO : DIRECTOR, FBI
FROM : SAC, PHILADELPHIA
SUBJECT: RE: PHILIP CAPLAN
SECURITY MATTER (C)

DATE: Nov. 7, 1944

Reference is made to the report of Special Agent JOSEPH F. MOONEY, dated October 2, 1944, at New York, N. Y., and requesting this office to examine the Naturalization records concerning PHILIP CAPLAN and his father, MICHAEL.

Please be advised that Mrs. HARRIET E. DAYTON, Information Clerk, Immigration and Naturalization Service Central Office, caused a search to be made of the files in an attempt to locate information concerning PHILIP CAPLAN and MICHAEL CAPLAN. This search was negative.

This matter is being considered referred upon completion to the office of origin.

RAS:meh
100-29043

cc: 1-New York
1-Albany

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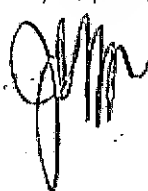
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EX 84

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Office Memorandum • UNITED STATES GOVERNMENT

JFM:IV

100-64008

TO :

Director, FBI

DATE: April 12, 1945

FROM :

SAC, New York

SUBJECT:

PHILIP CAPLAN
SECURITY MATTER (C)

Reference is made to the report of Special Agent Joseph F. Mooney dated October 2, 1944 and to the enclosed report of Special Agent Joseph F. Mooney dated April 12, 1945, at New York City in the above captioned matter.

The investigation as reported on October 2, 1944 reflected a discrepancy in the place of birth of the subject as reflected in his Selective Service file and the birth records of his two children. In the latter the subject's birth place was shown to be Russia while in the Selective Service file the birth place of the subject was reflected as Albany, New York. Subsequent investigation established that the subject was in fact born in Kiev, Russia and derived citizenship through the naturalization of his father.

These facts reflecting a possible violation of the Selective Training and Service Act were discussed with Assistant United States Attorney Louis Bender, Southern District of New York, at which time he declined prosecution, directing that the subject have his Selective Service file changed to reflect the true place of birth, which was done.

This information is set forth in letter form to advise of the contact with the United States Attorney and his opinion in this matter and in view of the fact that no copies of the investigative reports in this case are being furnished to that office because of the character of the investigation and for security reasons.

Enclosures (5)

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FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **NEW YORK CITY**

NY FILE NO. **100-64008 IV**

REPORT MADE AT NEW YORK CITY	DATE WHEN MADE 4/12/45	PERIOD FOR WHICH MADE 3/14, 15; 4/2, 6, 7/45	REPORT MADE BY JOSEPH F. MOONEY
TITLE PHILIP CAPLAN			CHARACTER OF CASE SECURITY MATTER (C)

SYNOPSIS OF FACTS:

Subject derived citizenship through his father's naturalization in Albany County Court August 8, 1905. Subject born in Kiev, Russia April, 1895 and entered U. S. in August, 1901. Additional data, subject's family, set out. Information appearing on marriage records set out.

C

REFERENCE:

Report of Special Agent Joseph F. Mooney dated October 2, 1944 at New York City.
Report of Special Agent John J. O'Toole, Jr. dated October 23, 1944, at Albany, New York.

DETAILS:

*cc. Mr. R. by 3/2/45
TDR 1/4/54*

The Philadelphia Field Division advised that a search of the Immigration and Naturalization Service Central Office records for any information concerning the naturalization of this subject's father, MICHAEL, was made with negative results.

The subject appeared at this office and voluntarily furnished the following deposition taken from MICHAEL COPLON, also known as MICHAEL CAPLAN, who is the father of this subject. The deposition was witnessed and sworn to before MORRIS ZUCKMAN, Commission of Deeds, at Albany County, Albany, New York, on September 25, 1944. The body of the deposition is as follows:

~~CONFIDENTIAL~~

APPROVED AND FORWARDED: *E.E. Conroy*
SPECIAL AGENT IN CHARGE

ENCLOSURE DO NOT WRITE IN THESE SPACES

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5 Bureau
1 Col. S.V. Constant, D. of I., 2SC
3 New York

~~CONFIDENTIAL~~

COPY IN FILE

100-334006-4

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NY 100-64008

That the deponent being duly sworn says that he resides at 79 Bassett Street, Albany, New York; that he is the father of PHILIP CAPLAN who resides at 1509 Silver Street, Bronx 61, New York. That the said PHILIP CAPLAN is forty-nine years of age and was born in Kiev, Russia in April, 1895; that he arrived in this country, the United States of America, aboard the Miesdana in August, 1901 and he was six years of age at the time of his arrival in this country.

That on the eighth day of August in the year 1905 letters of naturalization were duly granted to the deponent by the Albany County Court whereby the deponent became a citizen of the United States and that at the time of the said naturalization the deponent had the following children who were living with him in the city and county of Albany in the State of New York: JENNIE, PEARL, FRANK, PHILIP, and MORRIS.

That the above named children became citizens of the United States by virtue of the deponent's naturalization, according to the laws of the United States in force at the time of the said naturalization and that at the time of the said naturalization it was not the custom to record the names of the children of the person naturalized and the names of the deponent's children were not so recorded.

This deposition was signed by the deponent by making his mark in the presence of a witness.

Also at the time that the subject appeared at this office, the following additional information was secured from him:

He maintains his place of business at 1304 Park Avenue, Manhattan, New York. The following are complete lists of his brothers and sisters:

ROSE

Husband, JOSEPH KULICH,
operator of a restaurant on
Madison Avenue

Albany, New York

Residence, 12 Delaware Terrace
Albany, New York

SADIE LIPKIN

Husband, SAM RUDNICK

Madison Avenue

Albany, New York

JENNIE

NY 100-64008

PEARL

Husband, JACOB MEINICK
Hudson Avenue
Albany, New York

FRANK

Died at about the age of
25 and buried at Albany,
New York

Caplan
MORRIS

A school teacher in the
Albany High School and
residing at Albany, New York
Employed by the United States
Government as a chemical
engineer and residing at
Washington, D. C.

ALFRED

LOUIS

Operates a stationery store
on Second Avenue
Albany, New York

HENRY

Manager of a news circulation
company in Norfolk, Virginia

Additional information furnished by the subject
was that he believed that he came to New York in approximately 1923
and that he resided for approximately ten years in the borough of
Brooklyn and has now resided for approximately twelve years in the
borough of the Bronx.

He also advised that he has always been a carpenter
and self-employed and regarding his military service, he recalled
that he had registered for the last war but had not been called. The
subject also advised that his wife is not working and that they were
married in 1917 or '18 around Labor Day and that they had secured their
license here in the Municipal Building in New York and that he believed
that they were married in Albany, although they may have been married
in the Bronx.

CAPLAN also advised that his family now consists of
his wife, who is the subject of a separate investigation in this
office, and two children, a son, Lieutenant FRANK CAPLAN, United States
Army, Shreveport, Louisiana, Chemical Warfare, Third Air Force, and
Corporal HELEN A. CAPLAN, United States Marine Corps, stationed at
Cherry Point, North Carolina.

The records of the Marriage Bureau in the Municipal
Building, Manhattan, New York were checked and reflected that under
number 27215 a license was issued on August 13, 1917 to PHILIP CAPLAN
of 1840 Belmont Avenue, Albany, New York, and BERTHA GOLDBERG, 506
West 148 Street, New York City. The marriage was performed by Rabbi

NY 100-64008

A. J. HORWITZ on September 2, 1917 before witnesses J. GOLDSTEIN and MAX H. ZUCKERMAN.

Additional data from the records reflected that PHILIP was born at Albany, New York, and that his occupation was that of carpenter. His parents are MICHAEL and RACHEL SHANKER CAPLAN, both born in Russia.

The bride indicated her occupation to be that of bookkeeper and that her parents were WOLF and EVA LESSNER GOLDBERG, both born in Russia.

The pertinent background information regarding this subject having been secured by the investigation in this case together with the Communist activities on the part of this subject, this case is now being closed, subject to being reopened again on receipt of additional activity in the Communist Party by this subject.

- C L O S E D -

-4-

PHILIP CAPLAN
SECURITY MATTER - C
New York File 100-64008

EM-1

Inspector Brown: This is a pending case, New York origin, under the general supervision of ASAC William M. Whelan, and formerly supervised since the date of reopening, March 8, 1951, by SA Walter E. Levvis and SA Roy J. Barloga, both of whom have resigned. The case has been supervised since March 30, 1953 by SA Francis P. Carr, Jr.

This case was reopened on March 8, 1951 and unassigned. The last report in file was a report by SA Joseph F. Mooney at New York dated April 12, 1945. Previous reports had indicated that Philip Caplan, in 1944, was Educational Director for the Westchester Club of the Bronx County Communist Party. Confidential Informants advised in the succeeding years that the subject was active in the affairs of the Communist Party. On December 27, 1951 the case was assigned to the New York Police Department. The New York Police Department, by letter dated October 28, 1952, furnished a report setting out background information and information concerning the activities of the subject in Communist Party matters.

The case was assigned to SA Robert J. REED on November 19, 1952 who had the case until it was unassigned on May 8, 1953.

The file contains considerable information from informants. There has been a delay in investigation and reporting in this case.

An explanation is requested from SA Robert J. Reed, Supervisor Francis P. Carr, Jr., ASAC William M. Whelan and the SAC.

G.I.R.-1

100-334006

Inspection Report
New York Office
Inspector B.C. Brown
June 26, 1953
RDC:MZM
40 03 AUG 21 1953.

AUG 19 1953

SA Robert J. Reed:

It is regretted that there has been a delay in submitting a report in this case; however, it is to be noted that during the period this case was assigned to this agent he carried a continuous case load from forty to fifty-five Security Matter cases.

Inasmuch as the writer was in constant receipt of deadlines set by the Bureau, O-1 forms sent by the Bureau and engaged in special projects it was felt that other cases were more deserving of expeditious attention.

Explanations for the delay in investigation and report writing from Supervisor Frances P. Carr, Jr., ASAC William H. Whelan and the SAC are contained in a separate memorandum.

SAC, New York (100-64008)

September 25, 1953

Director, FBI (100-334006) - 5

RECORDED-33

EX-118

PHILIP CAPLAND

SECURITY MATTER - C

per Rerep of Special Agent Francis P. Henry dated August 19, 1953, and your letter (FD-122) of the same date.

The Bureau is of the opinion that Capland should be interviewed prior to a decision with regard to his inclusion in the Security Index. Unless there are circumstances known to your office that would dictate against the advisability of such action, you are instructed to interview Capland in accordance with current Bureau instructions regarding interviews with subjects of security investigations.

The results of this interview should be submitted to the Bureau in report form together with your recommendation regarding his inclusion in the Security Index.

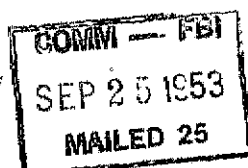
NOTE ON YELLOW:

Latest evidence shows CP membership was 1947. Current "Daily Worker" subscriber and observed in May Day Parade, NYC in 1949.

TDR:ejp *egp*

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
 Sizoo _____
Miss Gandy _____

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Office Memorandum • UNITED STATES GOVERNMENT

TO : Director, FBI

FROM : SAC, New York (100-64008)

SUBJECT: PHILIP CAPLAN, wa: Philip Kaplan
SECURITY MATTER - C

DATE: 8/19/53

X It is recommended that a Security Index Card be prepared on the above-captioned individual.

The Security Index Card on the captioned individual should be changed as follows: (Specify change only) **G. I. R. -1**

NAME PHILIP CAPLANALIASES PHILIP KAPLANNATIVE BORN _____ NATURALIZED X ALIEN _____COMMUNIST X SOCIALIST WORKERS PARTY _____ INDEPENDENT SOCIALIST LEAGUE _____

MISCELLANEOUS (Specify) _____

TAB FOR DETCOM ≠ TAB FOR COMSAB ≠ RACE W SEX MALEDATE OF BIRTH APRIL 1895 PLACE OF BIRTH KIEV, RUSSIA

BUSINESS ADDRESS (Show name of employing concern and address) _____

Self-employed carpenter, 1509 Silver Street, Bronx #61, New York

NATURE OF INDUSTRY OR BUSINESS (Specify from Vital Facility List) _____

RESIDENCE ADDRESS 1509 Silver Street, Bronx #61, New York

FPH:EMD

RECORDED-62

31 AUG 21 1953

FEDERAL BUREAU OF INVESTIGATION

SECURITY INFORMATION - ~~CONFIDENTIAL~~

FORM No. 1

THIS CASE ORIGINATED AT **NEW YORK**

FILE NO.

RMCM

REPORT MADE AT NEW YORK	DATE WHEN MADE 8/19/53	PERIOD FOR WHICH MADE 7/24, 27, 30; 8/3/53	REPORT MADE BY FRANCIS P. HENRY
TITLE CHANGED PHILIP CAPLAN, wa: Philip Kaplan			CHARACTER OF CASE SECURITY MATTER - C

SYNOPSIS OF FACTS:

ne
PHILIP CAPLAN born April 1895, Kiev, Russia. Allegedly obtained derivative US citizenship 1905. Self-employed carpenter over 30 years. Resides 1509 Silver St., Bronx 61, NY. Reported member CP 1936; Educational Director and member of Council, Westchester Club, Bronx Co. CP, 1944; member Parkchester Section, Bronx Co. CP, in 1946; teacher Unit Training Course, Bronx Co. CP, 1947; made pledge to obtain recruits for CP, 1947; signed CPNP, 1941, 1942 and 1943; on seating list of dinner sponsored by National Council of American-Soviet Friendship, 1945; subscriber to "Political Affairs" date unknown; subscriber "Daily Worker" 1941 and 1949 through 1953; observed in May Day Parade, NYC, 1949 and at Camp Unity, 1948. Subject's wife, BERTHA CAPLAN, reported member in 1938 and 1944 of CP. Info re subject's daughter, HELEN A. CAPLAN, and son, FRANK EPHRAIM CAPLAN, set forth. Description and names of close relatives set forth.

DETAILS:

The title of this case has been marked changed to carry the spelling of the subject's name PHILIP KAPLAN as shown on the birth certificate of the subject's son.

All confidential informants mentioned in this report are of known reliability unless otherwise indicated.

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~~CONFIDENTIAL~~

APPROVED AND FORWARDED: <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPY IN FILE		150-33400-6 31 AUG 21 1953 [Stamps: INT. SEC., EX. SEC.]	SE 19 INDEXED-40 RECORDED-40
COPIES OF THIS REPORT 5- Bureau (RM) 2- Albany (100-9109) (RM) 1- Norfolk (RM) (Info) 1- Washington Field (RM) (Info) 3- New York (100-64008)			

PROPERTY OF FBI - This confidential report and its contents are loaned to you by the FBI and are not to be distributed outside of agency to which loaned.

I. BACKGROUND

a. Birth Data and Citizenship

The subject appeared at the New York Office of the Federal Bureau of Investigation in March 1945 and voluntarily furnished the following deposition made by his father, ~~MICHAEL COPLON~~, also known as ~~MICHAEL CAPLAN~~. The deposition was witnessed and sworn to before MORRIS ZUCKMAN, Commissioner of Deeds, at Albany County, Albany, New York on September 25, 1944. The body of the deposition is as follows: *Rev. 3*

M. P. M. ~~MICHAEL COPLON~~ being duly sworn stated he was the father of ~~PHILIP CAPLAN~~ who resided (1944) at 1509 Silver Street, Bronx 61, New York. He stated ~~PHILIP CAPLAN~~ at that time was forty-nine years of age and was born in April 1895 at Kiev, Russia; that the subject arrived in the United States aboard the "ELISDANA" in August 1901 and was six years of age at the time of his arrival.

In the deposition ~~MICHAEL COPLON~~ further stated that letters of naturalization were granted him on August 8, 1905 by the Albany County Court, Albany, New York and he thereby became a citizen of the United States. The deposition then declares that his children (including ~~PHILIP CAPLAN~~) became citizens of the United States virtue of their father's naturalization according to the laws of the United States enforced at the time of the said naturalization.

The deposition was signed by ~~MICHAEL COPLON~~ by making his mark in the presence of a witness.

b. Marital Status

The records of the Marriage Bureau, Municipal Building, Manhattan, New York, checked in April 1944 by SA JOSEPH F. MOONEY reflect under number 27215 a marriage license was issued on August 13, 1917 to ~~PHILIP CAPLAN~~ of 1840 Belmont Avenue, Albany, New York and ~~BERTHA GOLDBERG~~; 1506 West 148th Street, New York City. The marriage was performed in Albany by Rabbi A. J. HOROWITZ on September 2, 1917 before witnesses ~~J. GOLDSTEIN~~ and ~~MAX H. ZUCKERMAN~~.

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In addition, the records reflect that PHILIP CAPLAN was born at Albany, New York and his occupation was that of carpenter. His parents were given as MICHAEL and RACHEL SHANKER CAPLAN, both born in Russia. The bride indicated her occupation as bookkeeper and listed her parents as WOLF and EVA LESSER GOLDBERG, both born in Russia.

c. Education

The subject was interviewed at his home, 1509 Silver Street, Bronx, New York, by SAS C. D. CONNELLY and JOSEPH F. MOONEY in September 1944. At that time he stated he graduated from elementary public school 15 and later took a course in manual training at the Albany High School. He stated there was only one high school in Albany when he attended school.

d. Military Service

The subject has no known military service.

e. Employment

The subject's marriage license number 27215, previously mentioned, reflects his employment in 1917 as carpenter.

The records of T-1, another government agency, lists the subject's occupation in 1944 as carpenter.

On July 29, 1953 T-2, of unknown reliability but who is familiar with the subject's background, advised the subject is a self-employed carpenter working out of his home at 1509 Silver Street, Bronx, New York.

T-3 advised in November 1952 that the subject is a self-employed carpenter working out of his home and has been in this occupation for approximately thirty years.

f. Residence

PHILIP CAPLAN advised SAS C. D. CONNELLY and JOSEPH F. MOONEY in September 1944 that he arrived in the United States when he was six years of age and lived in Albany until he was married.

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1917

The subject's marriage license, previously mentioned, lists his address in 1917 as 1840 Belmont Avenue, Albany, New York.

1921

The records of the Board of Health, Flatbush Avenue, Brooklyn, New York, checked by SA JOSEPH E. MOONEY in September, 1944, reflect under birth certificate number 3301 that FRANK CAPLAN was born on August 19, 1921. At that time his father, PHILIP CAPLAN, was residing at 1013 Walker Avenue, Woodhaven, Long Island, New York.

1923

Birth certificate number 37103 reflected a girl-child, HELEN ANNETTE CAPLAN, was born on [REDACTED]. Her father, the subject, was residing at 9519 - 94th Street, Brooklyn, New York.

1929-1943

The records of T-4, another government agency maintaining personnel records, reflect the subject's son FRANK CAPLAN lived from 1929 to 1943 with his parents, PHILIP and BERTHA CAPLAN. These records listed the following residences for FRANK CAPLAN (and his father, the subject, PHILIP CAPLAN):

1929-1934
1624 West Second Street
Brooklyn, New York

1934-1936
1625 West First Street
Brooklyn, New York

1936-1938
3065 Roberts Avenue
Bronx, New York

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1938-1941
1538 Longfellow Avenue
Bronx, New York

1941-1943
1509 Silver Street
Bronx 61, New York

Present address

T-3 advised in 1952 that the subject for approximately fourteen years has resided at 1509 Silver Street, Bronx 61, New York.

T-2, previously mentioned, advised on July 29, 1953 that the subject resides at 1509 Silver Street, Bronx 61, New York and has lived there since approximately 1940.

II. CONNECTIONS WITH THE COMMUNIST PARTY (CP)

a. CP Membership

The records of the Board of Elections, Bronx, New York, checked in September 1944 by SA JOSEPH F. MOONEY, reflected the subject, PHILIP CAPLAN, 3065 Roberts Avenue, Bronx, New York, registered in 1936 as a member of the CP in the Sixth Assembly District, Forty-ninth Election District, New York City.

T-5 advised in March 1944 the subject, PHIL CAPLAN, 1509 Silver Street, Bronx, New York, was a member of the Westchester Club, Bronx County CP.

T-6 made available in December 1946 a list of members of the CP. The subject's name "PHIL CAPLAN, 1509 Silver Street, Bronx" appeared under section eleven, Parkchester Section, Bronx County CP.

b. CP Recruit Work

T-6 in April 1947 made available a publication entitled, "Pay Off," issued by the Parkchester-Westchester

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Section, Bronx County CP, and dated March 19, 1947. An article on page three of the periodical reflects one "P. CAPLAN" had pledged to obtain recruits for the CP by March 25, 1947.

c. Offices Held

T-7 advised in March 1944 that the subject, PHILIP CAPLAN, 1509 Silver Street, Bronx, New York, was then a member of the County Council of the Westchester Club, Sixth Assembly District, Bronx County CP.

T-8 in March 1944 identified the subject as Educational Director, Westchester Club, Bronx County CP.

T-6 in December 1946 made available a list of instructors of the Bronx County CP. The subject's name, PHIL CAPLAN, was listed as an "Instructor" in the Unit Training Courses held by the Bronx County CP. The list contained the comment, "State Hist."

d. Teacher

In December 1946 T-6 advised the subject's name appeared on a list of "Teachers" for the Bronx County CP.

T-6 again advised in February 1947 that the subject was a "teacher" in schools conducted by the Bronx County CP. According to T-6, the title of the courses taught by the subject was "American Labor Movement."

In January 1947 T-6 also advised that the subject's name again appeared on a list of "Teachers" of the Bronx County CP.

In March 1947 T-6 made available a rough draft of a letter sent by the Bronx County CP to the subject enclosing

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a form to be filled out and returned to the "County School Commission" in regard to classes then being conducted by these individuals. The forms were to contain the address, et cetera of students.

e. CP Sympathies

1. CP Nominating Petitions

The records of the Bureau of Special Services and Investigation, New York City Police Department, checked by SA AUGUST J. MICEK on July 30, 1953, reflect the following information:

On September 16, 1941 PHILIP CAPLAN, 1509 Silver Street, Bronx, New York, signed CP Nominating Petition, page 2044, and page 7718.

On June 14, 1943 PHILIP CAPLAN, 1509 Silver Street, Bronx, New York, signed CP Nominating Petition, page 3334.

In 1943 PHILIP CAPLAN, 1509 Silver Street, Bronx, New York, signed a CP Nominating Petition for Councilman, page 435.

f. Front Organizations

1. International Workers Order (IWO)

The IWO has been cited by the Attorney General of the United States as coming within the purview of Executive Order 10450.

T-9 in February 1950 advised the subject, PHILIP CAPLAN, held membership policy number 261037, IWO Lodge 190, Bronx, New York. T-9 added this policy was originally issued in March 1940 and was continually held by the subject.

2. National Council of American-Soviet Friendship
(NCASF)

The NCASF has been cited by the Attorney General of the United States as coming within the purview of Executive Order 10450.

The records of the Bureau of Special Services and Investigation, New York City Police Department, checked on July 30, 1953 by SA AUGUST J. MICAK, reflect the subject's name appeared on a seating list for a dinner sponsored by the NCASF held at the Waldorf-Astoria Hotel on February 22, 1945.

3. American Labor Party (ALP)

The following information concerning the ALP appears in a booklet entitled, "Guide To Subversive Organizations and Publications," prepared and released by the Committee on Un-American Activities, United States House of Representatives, Washington, D.C., May 14, 1951:

"American Labor Party

"1. 'For years, the Communists have put forth the greatest efforts to capture the entire American Labor Party throughout New York State. They succeeded in capturing the Manhattan and Brooklyn sections of the American Labor Party but outside of New York City they have been unable to win control.' (Special Committee on Un-American Activities, Report, March 29, 1944, p. 78.)

"2. Among 'organizations that are victims of Communist domination.' (California Committee on Un-American Activities, Report, 1948, pp. 40 and 41.)"

The records of the Board of Elections, Bronx, New York, as checked by SA ANTHONY F. MILLER on July 31, 1953, reflect PHILIP CAPLAN, 1509 Silver Street, registered as a member of the ALP for the years 1948 through 1951.

4. Camp Unity, Wingdale, New York

T-10 advised on June 30, 1944 that Camp Unity

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located at Wingdale, New York is one of a number of camps and summer resorts in the New York area which cater exclusively to members of the CP.

The records of the Bureau of Special Services and Investigation, New York City Police Department, checked by SA AUGUST J. MICEK on July 30, 1953 reflect the subject was owner of an automobile bearing registration plates NY 3U-65-68 observed at Camp Unity, Wingdale, New York on July 4, 1948.

5. May Day Parade

The following information concerning the May Day Parade appears in a booklet entitled, "Guide To Subversive Organizations and Publications," prepared and released by the Committee on Un-American Activities, United States House of Representatives, Washington, D.C., May 14, 1951:

"1. 'The May Day Parade in New York City is an annual mobilization of Communist strength.' (Special Committee on Un-American Activities, Report, March 29, 1944, p. 179.)"

The records of the Bureau of Special Services and Investigation, New York City Police Department, checked by SA AUGUST J. MICEK on July 30, 1953, reflect the subject was the owner of a 1937 Plymouth sedan, New York license 3U-65-68, which participated in the May Day Parade in New York City on April 30, 1949.

g. Subscriptions

1. "Daily Worker"

The "Daily Worker" is an east coast Communist daily newspaper.

T-9, previously mentioned, in April 1952 made available a subscription form of the "Daily Worker" which was completed by PHILIP CAPLAN, 1509 Silver Street, Bronx 61, New York. The form was dated December 8, 1949 and was a one year renewal subscription.

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In March 1953 T-9 made available a "Daily Worker Subscription Information Card." The card reflected PHILIP CAPLAN, 1509 Silver Street, Bronx 61, New York under record number 25938 (original record 15382A) had a subscription to the "Daily Worker" for the years 1950, 1951 and 1952. The subscription was paid up until February 4, 1953 and was last renewed on January 21, 1952.

In addition, T-9 also advised at the same time that the subject in December 1941 was a subscriber to the "Daily Worker" and at that time renewed his subscription for another year.

2. "Political Affairs"

The following information concerning the publication "Political Affairs" appears in a booklet entitled, "Guide To Subversive Organizations and Publications," prepared and released by the Committee on Un-American Activities, United States House of Representatives, Washington, D.C., May 14, 1951:

"Political Affairs

"1. Cited as an 'official Communist Party monthly theoretical organ.'

"Political Affairs, formerly known as The Communist, 'a magazine of the theory and practice of Marxism-Leninism published monthly by the Communist Party of the United States of America,' now calls itself 'a magazine devoted to the theory and practice of Marxism-Leninism'. Its chief editor is Eugene Dennis, executive secretary of the party.' (Congressional Committee on Un-American Activities, Report No. 1920, May 11, 1948, pp. 5 and 36; also cited in Report No. 209, April 1, 1947, p. 25.)

"2. New name for the monthly ideological publication of the Communist Party, The Communist. (California Committee on Un-American Activities, Report, 1948, p. 252.)"

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In September, 1951 T-9 made available a subscription card to the publication "Political Affairs." The name of the subscriber appearing on the card was "PHIL CAPLAN, 1509 Silver Street, Bronx, New York." The lower half of the card indicated that the subscription was obtained by "HELEN", lastname not given, who indicated she was a member of the Eldon Club, Bronx County CP. The card was not dated and T-9 stated he did not know when the card was filled out.

It is noted that the records of T-4, previously mentioned, reflected the subject has two children, FRANK EPHRAIM CAPLAN, born August 19, 1921 and HELEN ANNETTE CAPLAN, born 1923. According to the records of T-4, FRANK CAPLAN stated in February, 1949 that his sister HELEN was not married and resided at 1509 Silver Street, Bronx, New York.

The subject when he appeared at the New York Office of the Federal Bureau of Investigation in April, 1945 advised SA JOSEPH F. MOONLY that his daughter HELEN ANNETTE CAPLAN was then a Corporal in the United States Marine Corps, stationed at Cherry Point, North Carolina.

h. Miscellaneous

1. Wife

The records of the Board of Elections, Bronx County, checked on July 31, 1953 by SA ANTHONY F. MILLER, revealed the subject's wife, BERTHA CAPLAN, 3065 Roberts Avenue, Bronx, New York, registered in 1936 as a member of the CP.

T-8, previously mentioned, in March 1944 identified the subject's wife, BERTHA CAPLAN, as Financial Secretary of the Westchester Club, Bronx County CP.

2. Son

The records of T-4 reflected the subject's son FRANK EPHRAIM CAPLAN, 1509 Silver Street, Bronx, New York,

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serial number 0-512946, entered the military service on April 16, 1943 as a Second Lieutenant in the United States Army and was honorably released to inactive duty as a First Lieutenant on March 2, 1946 at Denver, Colorado.

On November 1, 1947 T-6 identified FRANK ~~CAPLAN~~ *N.Y.* as a member of the American Youth For Democracy, (AYD).

The AYD has been declared to fall within the purview of Executive Order 10450, by the Attorney General of the United States.

3. Daughter

It is noted the subject stated in 1945 his daughter HELEN A. CAPLAN was then a Corporal in the United States Marine Corps stationed at Cherry Point, North Carolina.

4. Bureau of Motor Vehicles

The records of the Bureau of Motor Vehicles, New York City, checked on July 30, 1953 by SA DONALD A. WILLIAMS, reflect in 1943 PHILIP CAPLAN was owner of a 1937 Plymouth sedan, license number 3U-65-68-NY.

As of July 30, 1953 the subject was the registered owner of a 1950 Mercury blue sport sedan, 1953 New York license 3U-79-07. In addition, he possessed current New York State Operator's license 2218136.

5. Informants

The following reliable confidential informants, who are familiar with some phases of subversive activity in the New York City area, were contacted and advised the subject was unknown to them:

T-11, T-12, T-13, T-14, T-15, T-16, T-17, T-18, and T-19

The records of the Bureau of Criminal Identification, New York City Police Department, as checked by SA AUGUST J. MICEK on July 30, 1953, contained no record of the subject.

III. PHYSICAL DESCRIPTION

The following is the subject's description based on information voluntarily supplied by the subject himself in April 1945; from the records of T-1; and information supplied by T-3:

Name	PHILIP CAPLAN, wa: PHILIP KAPLAN
Sex	Male
Race	White
Birth Data	1895, Kiev, Russia
Citizenship	US, derivative from father's naturalization
Height	5'8"
Weight	170
Eyes	Brown
Hair	Brown
Scars and marks	None visible
Characteristics	Wears glasses
Military service	None
Occupation	Self-employed carpenter
Residence	1509 Silver St., Bronx 61, NY
Marital status	Married Wife - BERTHA CAPLAN, nee GOLDBERG Children - FRANK EPHRAIM CAPLAN, 6018 - 24th Ave., Brooklyn 30, NY, son; HELEN A. CAPLAN, daughter, 1509 Silver St., Bronx 61, NY
Auto Registration	1950 Mercury sedan license number 3U-79-07; driver's license NYS 2218136

In 1945 the subject advised SA JOSEPH F. MOONEY that the following were the names and addresses of his brothers and sisters:

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1. ROSE ~~X~~ KULICH
Husband ~~X~~ JOE ~~X~~ KULICH
Operator of restaurant on Madison Avenue,
Albany, New York.
2. SADIE ~~X~~ LIPKIN
Residence - 12 Delaware Terrace, Albany, New York
3. JENNIE ~~X~~ RUDNICK
Wife of SAM ~~X~~ RUDNICK, Madison Avenue, Albany, New York
4. PEARL ~~X~~ MELNICK
Wife of JACOB ~~X~~ MELNICK, Hudson Avenue, Albany, New York
MA - MRS.
5. FRANK CAPLAN
deceased, age twenty-five years, Albany, New York
6. MORRIS ~~X~~ CAPLAN
School teacher, Albany High School, Albany, New York
7. ALFRED ~~X~~ CAPLAN
Employed by United States Government as Chemical
Engineer and residing in Washington, D.C.
8. LOUIS ~~X~~ CAPLAN
operates a stationery store, Second Avenue, Albany,
New York
9. HENRY ~~X~~ CAPLAN
Manager of a news circulation company, Norfolk,
Virginia

- P -

- 14 -

NY 100-64008

ADMINISTRATIVE PAGEINFORMANTS

Identity of source	Date of activity and/or description of information	Date received	Agent to whom furnished	File & serial location
T-1 Selective Service records	Background and physical description		Info contained in report of SA JOSEPH F. MOONEY, 10/2/44	100-64008-2 p.1,2
T-2 Protext call to subject	Residence and background	7/29/53	SA FRANCIS P. HENRY	Instant report
T-3 Detective WALTER A. UPSHUR, #609, NYCPD	BSSI report to NY FBI Office, dated 10/28/52	11/5/52	SAC, NY	100-64008-29
T-4 USAF Personal history statement completed by subject's son and dated 2/18/49	Background, residence and military service of subject and son, FRANK CAPLAN		Cover letter, 6/2/50	100-98799-2
T-5 Anonymous				
T-6 	CP membership	12/20/46	SA JOHN N. DORAN	100-26603-40-1A

NY 100-64008

ADMINISTRATIVE PAGE (CONT'D)

Identity of source	Date of activity and/or description of information	Date received	Agent to whom furnished	File & serial location
T-6 [REDACTED]	Article in "Pay Off", 3/19/47	4/8/47	SAS JOHN N. DORAN, EDWARD B. REDDY	100-26603-1A 2885
"	List of "Instructors" Bronx Co. CP	12/3/46	SA JOHN N. DORAN	100-26603-C40-1A
"	List of "Teachers"	12/27/46	"	100-26603-C40
"	List of "Bronx Co. Teachers", Bronx Co. CP	1/10/47	SAS JOHN N. DORAN, EDWARD B. REDDY	100-26603-C40
"	List of "Teachers" Bronx Co. CP	12/27/47	SA JOHN N. DORAN	100-26603-C40 1A
"	Rough draft of letter sent to subject by Bronx Co. CP	3/7/47	SA JOHN N. DORAN, SE THOMAS F. CONNELLY	100-62007-1A
"	Used to document subject's son, FRANK CAPLAN			

T-7
Anonymous

T-8
Anonymous

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ADMINISTRATIVE PAGE (CONT'D)

Identity of source	Date of activity and/or description of information	Date received	Agent to whom furnished	File & serial location
T-9 [redacted]	"Daily Worker" subscription, form dated 12/8/49	2/25/52	SA EVERETT K. DEANE SE STEPHEN W. JENNINGS	100-64008-28
"	"Daily Worker" subscription form dated 12/16/49	2/25/52	SA JAMES E. GIBLER SE BILLY E. WEBB	100-64008-30
"	"Daily Worker" subscription form dated 12/10/41	2/25/52	SA EVERETT K. DEANE SE STEPHEN W. JENNINGS	100-64008-27
"	"Political Affairs" subscription form, undated	9/28/51	SA HERBERT P. LARSON SE STEPHEN W. JENNINGS	100-64008-25
"	IWO membership list dated March, 1950	2/27/50	SA HERBERT P. LARSON	100-2936-1A980,6
T-10 [redacted]	Used to document Camp Unity			
T-11 [redacted]	Negative	7/30/53	SA RICHARD H. BLASSER	Instant report
T-12 [redacted]	"	"	"	"

NY 100-64008

ADMINISTRATIVE PAGE (CONT'D)

Identity of source	Date of activity and/or description of information	Date received	Agent to whom furnished	File & serial location
T-13 [REDACTED]	Negative	7/29/53	SA JOHN W. DOOLEY	Instant report
T-14 [REDACTED]	"	7/30/53	"	"
T-15 [REDACTED]	"	7/29/53	SA ALEXANDER C. BURLINSON	"
T-16 [REDACTED]	"	7/30/53	"	"
T-17 NY-694-S	"	"	"	"
T-18 [REDACTED]	"	"	"	"
T-19 [REDACTED]	"	7/29/53	SA THORNTON J. WOOD	"

MISCELLANEOUS

One copy of this report is being forwarded to the Washington Field Office and Norfolk Office inasmuch as the subject's brothers were last known to reside in those areas. The names of the subject's brothers and sisters are set out in this report under the caption "Physical Description."

PHILIP CAPLAN's wife, BERTHA CAPLAN, is the subject of a current security investigation in the New York Office. (NY file 100-64007)

The subject's son, FRANK CAPLAN, was investigated in 1951 at the request of the United States Department of Air

ADMINISTRATIVE PAGE (CONT'D)

MISCELLANEOUS (CONT'D)

Force. (New York file 100-98799, Bureau file 100-378242).

The New York Office is opening a security investigation on HELEN A. CAPLAN, the subject's daughter.

Portions of the information concerning the subject's background and membership in the CP was previously reported by the New York Office and is contained in referenced reports. It is restated in instant report to maintain a logical presentation of the facts and to document informants.

LEADS

ALBANY

At Albany, New York

Will review records of the Albany County Court and/or the Immigration and Naturalization Service records and verify the naturalization of the subject's father, MICHAEL KOPLON, also known as MICHAEL COPLON, on August 8, 1905.

For the information of the Albany Office, it is noted the subject has several brothers and sisters living in Albany, New York. Their names are listed in instant report under the caption, "Physical Description."

NEW YORK

At New York, New York

Will await the Bureau's decision with regard to recommendation contained in FD 122.

REFERENCE

Report of SA JOSEPH F. MOONEY, New York, 4/12/45
New York letter to Bureau, 4/12/45

FEDERAL BUREAU OF INVESTIGATION

SECURITY INFORMATION - ~~CONFIDENTIAL~~

Form No. 1

THIS CASE ORIGINATED AT **NEW YORK**

REPORT MADE AT ALBANY, N.Y.	DATE WHEN MADE 9-28-53	PERIOD FOR WHICH MADE 9-14-53	REPORT MADE BY NATHAN D. DICK rvk
TITLE PHILIP CAPLAN, Wa. Philip Kaplan			CHARACTER OF CASE SECURITY MATTER - C

SYNOPSIS OF FACTS:

Records of the Albany County Court reflect MICHAEL COPLON admitted to United States citizenship, August 8, 1905.

-RUC-

DETAILS:

AT ALBANY, NEW YORK

Citizenship Status

*cc - Mr. R/S
T.H.R./Komet*
BACKGROUND
1/8/54

G.I.R.-6

Mr. FRANK MC DUFF, Clerk, Albany County Court, made available the court records for the period 1905. Book #15, Page 592, reflects that on July 25, 1905 MICHAEL COPLON (subject's father), age forty, born Kaiev, Russia, made application for United States citizenship. This application reflects the following information:

MICHAEL COPLON arrived in New York in April, 1898. COPLON filed his certificate of intention in June, 1905 in the Albany County Court, Albany, New York. MICHAEL COPLON listed his occupation as cabinet maker and his residence as 19 Broad Street, Albany, In July, 1905. This application was witnessed by DAVID SANDORS, 92 Trinity Place, Albany.

Book #13, Page 593, reflects that MICHAEL COPLON was admitted to United States citizenship on August 8, 1905 by

APPROVED AND FORWARDED: <i>DKS/mj</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPIES DESTROYED <i>R138 1-11-60</i> (5) Bureau (RM) 3 New York (100-64008) (RM) 2 Albany (100-9109)		100-334006-7 SEP 29 1953	RECORDED - 61 INDEXED - 61
		CONFIDENTIAL <i>STA. 105</i>	

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U. S. GOVERNMENT PRINTING OFFICE 16-59255-2

AL 100-9109

the Honorable CLIFFORD D. GREGORY, County Judge, at the August, 1905 term of the Albany County Court held at the City Hall, Cohoes, New York.

Relatives

(The Communist Party, USA, has been cited by the Attorney General of the United States as a subversive organization which seeks to alter the form of the government of the United States by unconstitutional means).

~~NEC PLAN~~

C.P. MEMBERS

In 1945 the subject advised SA JOSEPH F. MOONEY that SADIE LIPKIN, 12 Delaware Terrace, Albany, New York, and ROSE KULICH, Albany, New York, were his sisters.

~~NEC PLAN~~

Confidential Informant T-1, of known reliability, advised on October 3, 1950 that SADIE LIPKIN was a Communist Party member in Albany, New York, 1946 to 1947.

Confidential Informant T-2, of known reliability, advised on June 29, 1944 that SADIE LIPKIN was a member of the Communist Political Association in Albany, New York.

Confidential Informant T-3, of known reliability, advised in 1945 that ROSE KULICH, 117 South Main Avenue, Albany, was a subscriber to the "Daily Worker", an east coast Communist newspaper, for 1944 and 1945, such subscription having been obtained by SADIE LIPKIN.

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AL 100-9109

ADMINISTRATIVE PAGE

	<u>Identity of Source</u>	<u>Date of Activity And/or Description of Information</u>	<u>Date Received</u>	<u>Agent to whom Furnished</u>	<u>File Number where Located</u>
T-1	[REDACTED]	1946-1947	10-3-50	JOHN D. MAHONEY	100-9540-1A
T-2	[REDACTED]	6-29-44	6-29-44		
T-3	Anonymous Source				

REFERENCE: Report of SA FRANCIS P. HENRY dated August 19, 1953
at New York.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Director, FBI (100-334006)

DATE: DEC 3 1953

FROM : SAC, New York (100-64008)

SUBJECT: PHILIP CAPLAN, wa
SM-C

Reurlet 9/25/53.

Relet instructed this Office to interview subject. Efforts to locate and interview CAPLAN on 11/6, 16/53 were unsuccessful. A pretext telephone call to his wife on 11/17/53 disclosed that subject was suffering from a minor ailment.

The NYO intends to interview CAPLAN in the near future and will promptly submit results of the interview.

FPH:EPW

RECORDED 13

EX-112

100-334006-8
DEC 7 1953

DEC 10 1953
FBI
RECORDED
35
3 35 PM '53
63 DEC 10 1953

Office Memorandum • UNITED STATES GOVERNMENT

TO : Director, FBI (100-334006)

FROM : SAC, New York (100-64008)

SUBJECT: PHILIP CAPLAN, wa
SM-C

DATE: DEC 18 1953

3 X 5
Card Filed
1-14-54 CC

X It is recommended that a Security Index Card be prepared on the above-captioned individual.

— The Security Index Card on the captioned individual should be changed as follows: (Specify change only)

NAME PHILIP CAPLANALIASES PHILIP KAPLAN

G. I. R. -10

NATIVE BORN _____ NATURALIZED X ALIEN _____COMMUNIST X SOCIALIST WORKERS PARTY _____ INDEPENDENT SOCIALIST LEAGUE _____

MISCELLANEOUS (Specify) _____

TAB FOR DETCOM _____ TAB FOR COMSAB _____ RACE White SEX MaleDATE OF BIRTH April, 1895 PLACE OF BIRTH Kiev, Russia

BUSINESS ADDRESS (Show name of employing concern and address) _____

Self-employed Carpenter AT RESIDENCE1509 Silver Street, Bronx 61, NY

KEY FACILITY DATA:

GEOGRAPHICAL REFERENCE NUMBER _____ RESPONSIBILITY _____

INTERESTED AGENCIES _____

RESIDENCE ADDRESS 1509 Silver StreetBronx 61, NY

RECORDED - 2

DEC 21 1953

RM

FPH:TMS

12719 7118
63 JAN 15 1954

del Appard

~~SECURITY INFORMATION - CONFIDENTIAL~~

Office Memorandum • UNITED STATES GOVERNMENT

TO : Director, FBI (100-334006)

FROM : SAC, New York (100-64008)

SUBJECT: PHILIP CAPLAN, wa
SM-C

DATE: DEC 18 1953

Rebulet, 9/25/53 and report of SA FRANCIS P. HENRY, 12/9/53, at NY.
Five copies are enclosed herewith.

Report contains result of interview with CAPLAN. He refused to speak to SAS of this office. The NYO is recommending the subject for inclusion on the security index.

Encs. (5)
RM

G.I.R. 10

RECORDED - 68

DEC

F. B. I.

DEC 21 1953

ADVIS - FCIBILLA 21

~~SECURITY INFORMATION - CONFIDENTIAL~~

FPH:TH

7348
53 JAN 13 1954

~~SECURITY INFORMATION~~ ~~CONFIDENTIAL~~
FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **NEW YORK**

TMS

REPORT MADE AT NEW YORK	DATE WHEN MADE DEC 18 1953	PERIOD FOR WHICH MADE 12/9/53	REPORT MADE BY FRANCIS P. HENRY
TITLE PHILIP CAPLAN, wa: Philip Kaplan			CHARACTER OF CASE SECURITY MATTER - C

SYNOPSIS OF FACTS:

Subject refused on 12/9/53 to speak to SAS of the FBI.

NB5-1

-PK-

DETAILS:

SAS COURTNEY B. GERRISH and FREDRICK R. WOODWORTH knocked on the door of the subject's home, 1509 Silver Street, Bronx, New York, at 8:30 a.m. on December 9, 1953. Mrs. BERTHA CAPLAN, subject's wife, answered the door, and as soon as SAS GERRISH and WOODWORTH identified themselves, she slammed the door in their faces.

Mrs Philip Kaplan

The agents returned to the house at 9:50 a.m. the same day and again Mrs. CAPLAN answered the door, while the subject stood in the house several feet behind his wife. Mrs. CAPLAN stated she had nothing to say to the agents, and the subject refused to come to the door and speak to SAS GERRISH and WOODWORTH.

AGENCY DR
REQ. REC'D —
DATE FORW. 1-18-54
HOW FORW. R/S
BY TDR/uv

DR

COPIES DESTROYED R-13-8 DEC 31 1968

1-11-68

APPROVED AND FORWARDED <i>LVB</i> <i>ah</i>	SPECIAL AGENT IN CHARGE	ENCLOSURE DO NOT WRITE IN THESE SPACES 100-334006-10 DEC 21 1953 RECORDED - 68 INDEXED 68	
COPIES OF THIS REPORT 6-Bureau (100-334006) (RM) 3-New York (100-64008)			
COPY IN FILE			

PROPERTY OF FBI—THIS CONFIDENTIAL REPORT AND ITS CONTENTS ARE LOANED TO YOU BY THE FBI AND ARE NOT TO BE DISTRIBUTED OUTSIDE OF AGENCY TO WHICH LOANED.

~~SECURITY INFORMATION~~ ~~CONFIDENTIAL~~

NY 100-64008

ADMINISTRATIVE PAGE

LEAD

NEW YORK

At New York, New York

Will await Bureau decision on recommendation contained in form FD 122.

REFERENCES: Report of SA FRANCIS P. HENRY, 8/19/53, NY.
Bulet to NY, 9/25/53. *ser 4*

Office Memorandum • UNITED STATES GOVERNMENT

TO : Director, FBI (100-334006)

FROM : SAC, New York (100-64008)

SUBJECT: PHILIP CAPLAN, wa: Philip Kaplan
SM---C

DATE: 6/20/55

G.I.R. 25
Cancel SI
CE 7-6-55

REFERENCE

SAC letter #55-30, 4/12/55.

Security Index card cancelled

SUCCINCT RESUME OF CASE

In September 1944, SA JOSEPH F. MOONEY, conducted a check of the Board of Elections, Bronx, NY, which records reflected the subject was registered as a member of the CP in the 6th Assembly District, 49th Election District, NYC in 1936.

In 1944, a highly confidential source, not further identified, but who reportedly had access to the premises and records of the Bronx Co. CP, advised the subject was "Educational Director" for the Westchester Club, 6th AD, Bronx County CP.

In December 1946, [redacted], who has furnished reliable information in the past, advised the subject was a member of the CP, Parkchester Section, Bronx Co. NYC. Information admissible.

In February 1947, [redacted], who has furnished reliable information in the past, advised the subject was a "teacher in schools conducted by the Bronx County CP and that the title of the course taught by the subject was 'American Labor Movement'."

In February 1950, [redacted], who has furnished reliable information in the past, advised the subject held a membership policy in IWO, Lodge 190, Bronx, NY, this policy having been originally issued in March 1940 and continually held by the subject. IWO cited by A.G.

On July 31, 1953, SE ANTHONY F. MILLER, conducted check of the records of the Board of Elections, Bronx, NY, which reflected the subject was registered as a member of the ALP for the years 1948 through 1951. ALP cited by HCUA

In March 1953 [redacted] previously referred to, advised the subject held a subscription to the "Daily Worker" for the years 1950, 1951 and 1952 having last been renewed on January 21, 1952. The "Daily Worker" is an East Coast Communist daily newspaper.

RM

78
JUL 12 1955
CANCELLED

RECORDED - 54

100-334006-11

EX - 118

29 JUN 23 1955

RECEIVED

Letter to Director
NY 100-64008

On 9/28/51, [] who has furnished reliable information in the past, advised the subject was a subscriber to "Political Affairs" an "official Communist Party Monthly Theoretical organ". ✓

On 12/9/53, SAS COURTNEY B. GERRISH and FREDRICK R. WOODWORTH attempted to interview subject. Subject refused to speak to above agents. Subject's wife, BERTHA CAPLAN, slammed the door in the face of above agents.

BERTHA CAPLAN, the subject's wife, is the subject of NY file 100-64007; FRANK CAPLAN, the subject's son, is the subject of NY file 100-98799; and that HELEN A. CAPLAN, the subject's daughter, is the subject of NY file 100-115178.

RECOMMENDATION

Remove from SI.

There is no evidence the subject has been a member or participated in the activities of a basic revolutionary group for the last five years, or has been a member or participated in the activities of a Communist Front organization within the last three years.

It should be noted, however, that the subject's wife, BERTHA CAPLAN, his son, FRANK CAPLAN, and his daughter, HELEN A. CAPLAN, are subjects of security cases in the NYO.

DETCOM TABBING

- TABBING.
1. The subject is not presently included under DETCOM TABBING.
 2. The subject has not been approved for DETCOM TABBING under the new criteria in SAC letter 55-12(A) dated 2/10/55. No security index card has been received from the Bureau bearing the stamp "DETCOM" in large red letters.
 3. Recommended subject be removed from SI.

UNITED STATES GOVERNMENT

Memorandum

Director, FBI (Bufile - 100-334006)

DATE: April 27, 1964

FROM : SAC, NEW YORK (100-64008) (RUC)

SUBJECT: PHILIP CAPLAN, aka; PHILIP KAPLAN
SM-C (RI-B)

Re Los Angeles letter to New York 4/20/64.

The captioned individual has been the subject of a security investigation by this office. The Los Angeles Division has verified the permanent presence of the subject in its division as residing and working at the addresses listed below. The Los Angeles Division is being considered the new office of origin.

Residence address <u>11330 Shoshone, Granada Hills, Los Angeles, California</u>
Business address <u>Same</u>
Check the following applicable statements: ☒ This individual is the subject of a <u>Security Index Card</u> . (The Bureau is requested to make the appropriate changes in the Security Index at the Seat of Government. The <u>LA</u> Division should affix the addresses indicated above and the appropriate case file number.) ☐ This subject is tabbed for Detcom. ☐ This subject was carried as a Key Figure or Top Functionary. ☐ Handwriting specimens have been furnished to the Bureau. ☐ A photograph has been furnished to the Bureau. ☐ A security flash notice has been placed with the Identification Division and a copy has been designated therefor.
The following pertinent items are being forwarded to the new Office of Origin with its copies of this letter: ☐ Security Index Cards ☒ Serials (specify) (See Attached) ☐ Photograph of subject (check appropriate item listed below) ☐ Negative and three copies of most recent or best likeness photograph. ☒ None available. ☐ Previously furnished.

Registered Mail

RUC

REC-21
EX-117

2 APR 28 1964

CC: Enc.

(2)-Bureau (RM)

2-Los Angeles (100-65533) (encl-6) (RM)

1-New York

WDJ/wdj

1966 30 15 05 BH .24
1964 MAY 4 1964
56 MAY 4 1964

SUBV. CONTROL
P. M. P. (info)

Enclosed for the Los Angeles Office are the below listed
serials:

One copy of SA FRANCIS P. HENRY report dated 8/19/53
at New York.

One copy of Bureau letter to New York 9/25/53.

One copy of SA NATHAN D. DICK report dated 9/28/53
at Albany, New York.

One copy of SA FRANCIS P. HENRY report dated 12/9/53
at New York.

One copy of New York letter to Bureau 6/20/55

One photostat copy of SA LYNN E. McCREDY memo 3/12/59.

The discrepancy in CAPLAN'S birth place as listed in his
voters registration in re-communication has been resolved to
the fact that CAPLAN was born in Kiev, Russia, in April 1895,
but obtained his U.S. Citizenship in Albany, New York, in
1905. CAPLAN'S file reflected that in many instances he
listed his place of birth as New York, instead of Kiev, Russia.

UNITED STATES GOVERNMENT

ST wa
Memorandum*Wgg*
TO : DIRECTOR, FBI (100-334006)

DATE: 6/22/64

FROM : SAC, LOS ANGELES (100-65533) (C)

SUBJECT: PHILIP CAPLAN, aka
SM - C

OO: LOS ANGELES

Re New York FD-128, dated 4/27/64. *pur 2*

Through an appropriate pretext telephone call by SA VINCENT J. O'NEILL, on 6/17/64, it was verified that the subject is presently self-employed as a building contractor from his residence, 11330 Shoshone, Granada Hills, California. This pretext telephone call was to the subject's wife at their home as a person interested in building a home.

A review of this file indicates this subject was last reported to be a member of the Communist Party in New York in 1946, and was subsequently connected with the IWO in 1950.

In view of this, the subject is being deleted from the Reserve Index, Section B, Los Angeles, as his activities do not warrant inclusion thereon per existing criteria.

low
*W*REC-63 100-334006-13

JUN 24 1964

16 JUN 24 1964

2 - Bureau (REGISTERED)
1 - Los Angeles

VJO/tjs
(3)

JUN 22 2 03 PM '64

58 JUL 1 1964

SUBV CONTROL

FBI

Date: 12/27/67

Transmit the following in _____

(Type in plaintext or code)

Via AIRTEL

AIR MAIL - REGISTERED

(Priority)

TO: DIRECTOR, FBI (100-334006)
 FROM: SAC, LOS ANGELES (100-65533)(P)
 RE: PHILIP CAPLAN, aka
 SM - C

OO: Los Angeles

Re New York letter to Bureau dated 11/15/67
 entitled "Anniversary Tours, IS - C, OO: New York, Bufile
 100-447734, NY file 100-160297."

Enclosed for the Bureau are seven copies of a
 letterhead memorandum for dissemination to Legat, London.

Enclosed for the Washington Field Office is one
 copy of this letterhead memorandum in view of lead outstanding
 in that division.

Enclosed for the New York Office is one copy of
 this letterhead memorandum in view of New York's investigative
 interest.

It should be noted that BERTHA CAPLAN, wife of PHILIP
 CAPLAN, is the subject of a similar letterhead memorandum being
 prepared for submission by the Los Angeles Office.

- ③ - Bureau (Enc. 7)(AM-PM)
 1 - Washington Field (Enc. 1)(RM)
 1 - New York City (Enc. 1)(100-160297)
 3 - Los Angeles (100-65533)

EFB:sch
 (8)

ST-105

REC-71

100-334006-14

Copy to State, CIA
 by routing slip for
☒ info ☐ action

DEC 30 1967

date 1/8/68

by KIM yes

REC- Bishop

66 JAN 12 1968

INT. SEC.

LA 100-65533

LA T-1 is the Records, Board of Elections, Bronx, New York. LA T-2 is [REDACTED], LA T-3 is the Records, Bureau of Special Services and Investigations, New York City Police Department, New York City, New York. LA T-4 is [REDACTED] [REDACTED]. LA T-5 is [REDACTED]. LA T-6 is [REDACTED]. LA T-7 is [REDACTED].

The attached letterhead memorandum is classified confidential as it contains information from sources who request their identity be concealed.

LEADSWASHINGTON FIELD

AT WASHINGTON, D. C.: WFO is requested to obtain a current photograph of PHILIP CAPLAN, Passport Number H 1131673, issued at Washington, D. C., on 9/27/67, from the files of the Passport Office and forward two copies to the Bureau and one copy to both New York City and Los Angeles.

LOS ANGELES

AT LOS ANGELES, CALIFORNIA: Will, upon receipt of CAPLAN's photograph and communication reflecting completion of WFO's lead as set forth, close this case administratively.



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Los Angeles, California
December 27, 1967

In Reply, Please Refer to
File No.

~~CONFIDENTIAL~~

PHILIP CAPLAN
SECURITY MATTER - COMMUNIST

Reference is made to memorandum dated November 15, 1967, at New York City, New York, captioned "Anniversary Tours".

On October 25, 1967, a confidential source, who has furnished reliable information in the past, furnished the itinerary and passenger list for Anniversary Tour Number 3, which was booked through Consolidated Tours, Incorporated, New York, New York.

The above mentioned passenger list reflected that Philip Caplan participated in the tour which began on November 1, 1967 and ended upon return to the United States on November 22, 1967.

In September 1944, LA T-1 advised that Philip Caplan registered in 1936 as a member of the Communist Party 6th Assembly District, 49th Election District, New York City, New York.

On February 27, 1950, LA T-2 advised that Caplan held Membership Policy Number 261037, International Workers Order Lodge 190, Bronx, New York, which was originally issued to him in March 1940 and continually held by him.

The International Workers Order (IWO) was designated by the Attorney General of the United States pursuant to Executive Order 10450.

~~CONFIDENTIAL~~
GROUP 1
Excluded from automatic
downgrading and
declassification

100-334006 - 14

ENCLOSURE

PHILIP CAPLAN
SECURITY MATTER - COMMUNIST

In July 1953, LA T-3 advised that Caplan signed Communist Party Nominating Petitions on both September 16, 1941 and June 14, 1943. Source further advised that Caplan's name appeared on a seating list for a dinner sponsored by the National Council of American-Soviet Friendship (NCASF) at the Waldorf Astoria Hotel, New York City, New York, on February 22, 1945.

The National Council of American-Soviet Friendship (NCASF) has been designated by the Attorney General of the United States pursuant to Executive Order 10450.

In December 1946, LA T-4 advised that the December 1946 membership list of the Communist Party, Parkchester Section, Bronx, New York reflected Caplan as a member of the Section.

In February 1947, LA T-4 advised that Caplan was a "teacher" in schools conducted by the Bronx County Communist Party and he taught on the subject of the "American Labor Movement".

In April 1947, LA T-4 advised that a publication entitled "Pay Off", issued by the Parkchester-Westchester Section, Bronx County Communist Party, dated March 19, 1947, had an article on Page 3, which stated "P. Caplan" had pledged to obtain recruits for the Communist Party by March 25, 1947.

In July 1953, LA T-1 advised that Caplan registered as a member of the American Labor Party (ALP) (See appendix) for the years 1948 through 1951.

In April 1964, LA T-5 advised that the occupants of a 1959 Buick sedan bearing California license LLC 951, registered to Philip Caplan, participated in a peace march and rally at 2308 Clyde Avenue, Los Angeles, California, which was sponsored by the Womens' Strike for Peace (WSP)(See appendix) on March 28, 1964.

PHILIP CAPLAN
SECURITY MATTER - COMMUNIST

In June 1964, LA T-6 advised that Caplan attended a fund raising social sponsored by the Burbank-North Hollywood Communist Party Club, Southern California District Communist Party (SCDCP) on May 2, 1964 at 2425 North Keystone Street, Burbank, California.

In November 1965, LA T-7 advised that Caplan had a 1965 subscription to the "People's World".

The "People's World" (PW) is a West Coast Communist newspaper published weekly in San Francisco, California.

On December 12, 1967, it was physically verified that Philip Caplan had returned to his residence at 11330 Shoshone Avenue, Granada Hills, California, where he is retired.

The following is a description of Caplan:

Name	<u>MRS. Philip Caplan</u>
Alias	Philip Kaplan
Race	Caucasian
Sex	Male
Date of birth	April 30, 1895
Place of Birth	Kiev, Russia
Citizenship	U.S. derived through naturalization of father
Height	5'8"
Weight	170 pounds
Eyes	Brown
Hair	Brown
Characteristics	Wears glasses
Military Service	None
Scars and Marks	None visable
Occupation	Retired - carpenter
Residence	<u>11330 Shoshone Avenue,</u> <u>Granada Hills, California</u>
Marital Status	<u>Married: Bertha Caplan, nee</u> <u>Goldberg</u>

~~CONFIDENTIAL~~

PHILIP CAPLAN
SECURITY MATTER - COMMUNIST

Children

Frank Ephraim Caplan
Helen Caplan

} NO LOCACITY

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

APPENDIX

AMERICAN LABOR PARTY (ALP)

"1. 'For years, the Communists have put forth the greatest efforts to capture the entire American Labor Party throughout New York State. They succeeded in capturing the Manhattan and Brooklyn sections of the American Labor Party but outside of New York City they have been unable to win control.' (Special Committee on Un-American Activities, House Report 1311 on the CIO Political Action Committee, March 29, 1944, p. 78.)

"2. 'Communist dissimulation extends into the field of political parties forming political front organizations such as the *** American Labor Party. The Communists are thus enabled to present their candidates for elective office under other than a straight Communist label.' (Internal Security Subcommittee of the Senate Judiciary Committee, Handbook for Americans, S. Doc. 117, April 23, 1956, p. 91.)

"On October 7, 1956, PETER HAWLEY, New York State chairman, American Labor Party, publicly announced the American Labor Party state committee unanimously approved a resolution dissolving the organization and ordering the liquidation of its assets."

APPENDIX

~~CONFIDENTIAL~~
~~CONFIDENTIAL~~APPENDIX

COMMUNIST INFILTRATION OF THE
WOMEN STRIKE FOR PEACE,
Also Known As
Women's International Strike for Peace

A source advised on May 14, 1967, that the Women Strike for Peace (WSP) in the Southern California area began its operations in the late fall of 1961, as an affiliate of the national organization formed in Washington, D.C. It is a peace oriented women's organization which is opposed to the present American foreign policy in Vietnam. It has also sought legislation which would bring about the abolition of the House Committee on Un-American Activities (HCUA). In recent months the organization has sponsored demonstrations protesting the United States involvement in Vietnam and calling for the complete withdrawal of all United States troops from Vietnam. In the spring of 1966, it was instrumental in originating and putting into effect a campaign advocating support for "peace candidates" in the upcoming 1966 Congressional elections.

The same source stated that WSP is a non-membership organization and has no officers. The organization is open to women of all political opinions who are interested in world peace. It makes no effort to exclude from its activities members of, or persons sympathetic to, the Communist Party and related groups.

The guiding force behind the Southern California WSP groups is MARY CLARKE. A second source advised in July, 1955, that CLARKE was dropped from the Western Division, Los Angeles County Communist Party (LACCP) in the Spring of 1955, for personal reasons and not because of any political differences with the LACCP.

The first source advised that Southern California WSP maintains its office at 5899 West Pico Boulevard, Los Angeles. It receives mail through Post Office Box 19739, Los Angeles 19, California.

APPENDIX~~CONFIDENTIAL~~
~~CONFIDENTIAL~~



*In Reply, Please Refer to
File No.*

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Los Angeles, California
December 27, 1967

Title PHILIP CAPLAN

Character SECURITY MATTER - COMMUNIST

Reference Memorandum dated and captioned
 as above at Los Angeles

All sources (except any listed below) whose identities are concealed in referenced communication have furnished reliable information in the past.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

UNITED STATES GOVERNMENT

Memorandum

TO : DIRECTOR, FBI (100-334006)

FROM : SAC, WFO (100-29183) (RUC)

SUBJECT: PHILIP CAPLAN, aka
SM - C
(OO:LA)

DATE: 2/1/68

ReLAairtel and LHM 12/27/67.

Enclosed are two copies of photo of the above-captioned individual for the Bureau, one copy for New York, and two copies and one negative for Los Angeles.

ENCLOSURE ATTACHED
ENCLOSURE

- 2 - Bureau (Enc. 2)
- 2 - Los Angeles (100-65533) (Enc. 3) (RM)
- 1 - New York (100-160297) (Enc. 1) (RM)
- 1 - WFO

FEL:njs
(6)

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3/1/68 MTH:jes
rel. 80

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w/ro LT 2/1/68

Don call
closed

EX 106
REC-19

100-334006-15

4 FEB 5 1968

INT. SEC.



57 FEB 9 1968

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

ENCLOSURES TO BUREAU (2)

Bu 100-334006
WFO 100-29183

Letter dated 2/1/68.

100-334006-15



FOIA(b) (1)

FOIA (b) (1)

FOIA (b) (1)

UNITED STATES GOVERNMENT

Memorandum

FOIA(b)(3) - 50 USC 3024(i)(1) - Intelligence Sources and Methods

TO : DIRECTOR, FBI

DATE: 6/23/75

FROM : ~~LOS ANGELES~~ LOS ANGELES (100-65533)(C)

SUBJECT: PHILIP CAPLAN

11330 Shoshono Avenue
Granada Hills, California

IS - R

00: Los Angeles

Re Washington Field airtel to the Bureau, dated
5/21/75.

Referenced airtel advised that on

A review of Los Angeles indices reveal subject is identical with the subject on Bufile 100-334006. Subject was born on 4/30/95 in Kiev, Russia. He has resided in the Los Angeles area since 1955 and has been at his current address, 11330 Shoshono Avenue, Granada Hills, California, since 1960. Prior to 1955, he resided in New York City. His last occupation was listed as carpenter, but he is, of course, retired.

Active investigation in this matter was last conducted in 1967. He and his wife BERTHA CAPLAN have been involved with Communist oriented organizations since 1936 when they registered as members of the Communist Party in the Sixth Assembly District, 49th Election District, New York City.

In view of subject's age, it is felt that active investigation is not warranted.

REC-75

~~SECRET~~

16 JUL 3 1975

CLASSIFIED BY 2182

EXEMPT FROM GENERAL DECLASSIFICATION

SCHEDULE OF EXECUTIVE ORDER 11652

EXEMPTION CATEGORY 2 and 3

PERMANENTLY DECLASSIFIED ON Indefinite

JEW/DEK

(4)



- 1* -

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

AUG 5 1975 edm